

COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled CATALYST COMPLEX WITH CARBENE LIGAND, the specification of which

☒ is attached hereto.

☐ was filed on \_\_\_\_\_ as Application Serial No. \_\_\_\_\_  
and was amended on \_\_\_\_\_.

☐ was described and claimed in PCT International Application No. \_\_\_\_\_  
filed on \_\_\_\_\_ and as amended under PCT Article 19 on \_\_\_\_\_.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose all information I know to be material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim the benefit under Title 35, United States Code, §119(e)(1) of any United States provisional application(s) listed below:

U.S. SERIAL NO.	FILING DATE	STATUS
<u>60/099,722</u>	<u>September 10, 1998</u>	PENDING
<u>60/115,358</u>	<u>January 8, 1999</u>	PENDING

I hereby appoint the following attorneys and/or agents to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: Scott C. Harris, Reg. No. 32,030; John R. Wetherell, Jr., Reg. No. 31,678; George E. Heibel, Reg. No. 42, 648; Richard P. Ferrara, Reg. No. 30,632; Stephan J. Filipek, Reg. No. 33,384; William J. Hone, Reg. No. 26,739; Stuart Macphail, Reg. No. 44,217; Greg O' Bradovich, Reg. No. 42,945; Andrew N. Parfomak, Reg. No. 32,431; John B. Pegram, Reg. No. 25,198; Frederick H. Rabin, Reg. No. 24,488; Gary A. Walpert, Reg. No. 26,098.

Address all telephone calls to Scott C. Harris at telephone number 619/678-5070.

Address all correspondence to Scott C. Harris, Fish & Richardson P.C., 4225 Executive Square, Ste. 1400, La Jolla, CA 92037.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patents issued thereon.

COMBINED DECLARATION AND POWER OF ATTORNEY CONTINUED

Full Name of Inventor: Steven P. Nolan

Inventor's Signature: Sholan Date: Sept 8, 1999

Residence Address: 6196 Chatham Drive, Apt. 153, New Orleans, LA 70122

Citizen of: Canada

Post Office Address: 6196 Chatham Drive, Apt. 153, New Orleans, LA 70122

Full Name of Inventor: Jinkun Huang

Inventor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Residence Address: 2000 Lakeshore Drive, Apt. D-208, New Orleans, LA 70148

Citizen of: China

Post Office Address: 2000 Lakeshore Drive, Apt. D-208, New Orleans, LA 70148

56932.N11

Applicant or Patentee: Steven P. [redacted] and Jinkun Huang  
 Serial or Patent No.:  
 Filed or Issued:  
 For: CATALYST COMPLEX WITH CARBENE LIGAND

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
 (37 CFR 1.9(f) and 1.27(c)) - NONPROFIT ORGANIZATION

I hereby declare that I am

- ☐ the owner of the small business concern identified below:  
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

Name of Small Business Concern: University of New Orleans Foundation

Address of Small Business Concern: Office of Research, Administration Building 208, New Orleans, LA 70148

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 37 CFR 1.21.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled Office of Research by Inventor(s) Administration Building 208 described in

- ☒ the specification filed herewith.  
☐ application serial no. , filed .  
☐ patent no. , issued .

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e). NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention overruling to their status as small entities. (37 CFR 1.27)

Full Name: University of New Orleans Foundation

Address: Office of Research, Administration Building 208, New Orleans, LA 70148

- ☐ INDIVIDUAL ☒ SMALL BUSINESS CONCERN ☒ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status when any new rule 53 application is filed or prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent on which this verified statement is directed.

Name: Mary Louise Trammell

Title: Associate Vice Chancellor for Research/ Director, Office of Technology Transfer

Address: University of New Orleans, Administration Building 208, New Orleans, LA 70148

Signature: Mary L. Trammell

Date: 09/08/99